



PATENT ATTORNEY DOCKET NO. 041465-5196

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) .
Mitsuo YASHUSHI, et al.) Confirmation No.: 8263
Application No.: 10/647,589) Group Art Unit: 3736
Filed: August 26, 2003) Examiner: Unassigned
For: APPARATUS AND M	ETHOD FOR)
ANALYZING HEART	-RATE)
VARIABILITY BASE	OON)
ELECTROCARDIOGI	RAM)
INFORMATION	j

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Dear Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Form 1449. To the best of the undersigned's knowledge, this Information Disclosure Statement is being before the mailing date of a first Office Action on the merits for the above-referenced application, and no fees are believed to be necessary.

A copy of each of the listed documents is attached for the Examiner's convenience.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making the appropriate notations on the attached PTO Form 1449.

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Each item of information contained in this Information Disclosure Statement (IDS) was

cited in an European Search Report dated April 12, 2005 in European Application No.

EP 03 25 495. A copy of the European Search Report is enclosed for the Examiner's

consideration.

This submission does not represent that any better art exists and does not constitute an

admission that each or all of the listed documents are material or constitute "Prior Art." If it

should be determined that any of the listed documents do not constitute "Prior Art" under United

States law, Applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of such documents. Applicants further reserve the right to take

appropriate action to establish the patentability of the disclosed invention over the listed

documents, should one or more of the documents be applied against the claims of the present

application.

If there is any fee due in connection with the filing of this Statement, please charge the

fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

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Dated: April 26, 2005

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1-WA/2380375.1

Attorney Docket No.: Application No.: 10/647,589 INFORMATION DISCLOSURE CITATION 041465-5196 (Use several sheets if necessar Applicant: Mitsuo YASHUSHI, et al. **PTO Form 1449** Filing Date: August 26, 2003 Group Art Unit: 3736 PAGE 1 of 1 TRADEMUS. PATENT DOCUMENTS *Examiner Document Filing Date Date Class Name Sub Initial Number Class **A1 FOREIGN PATENT DOCUMENTS** Document **Translation** Sub Number Date YES Class Class NO Name **B1** X X NON-PATENT LITERATURE DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) K. Han, J.H. Nagel, B.E. Hurwitz and N. Schneiderman, "Decomposition of Heart Rate Variability by Adaptive Filtering for Estimation of Cardiac Vagal Tone", Proceedings of the Annual International Conference of the IEEE Engineering in C1 Medicine and Biology Society, Orlando, FL, U.S., Oct. 31-Nov. 3, 1991, New York, IEEE, US, Vol. 13, No. 2 (Oct. 31, 1991), pp. 660-661, XP000348278. C2 Shaw-Jyh Shin, Walter N. Tapp, Stanley S. Reisman, Member, IEEE, and Benjamin H. Natelson, "Assessment of Autonomic Regulation of Heart Rate Variability by the Method of Complex Demodulation", IEEE TRANSACTIONS ON BIOMEDICAL ENGINEERING, New York, NY, U.S., Vol. 36, No. 2 (Feb. 1989), XP000009587, pp. 274-283. C3 L. Zhao, S. Reisman, T. Findley, "Derivation of Respiration from Electrocardiogram during Heart Rate Variability Studies", Computers in Cardiology (1994), pp. 53-56, XP-002322495. C4 Task Force of the European Society of Cardiology and the North American Society of Packing and Electrophysiology, Special Report, "Heart Rate Variability Standards of Measurement, Physiological Interpretation and Clinical Use". pp. 1043-1065, XP002236874. C5 Gary G. Berntson, J. Thomas Bigger, Jr., Dwain L. Eckberg, Paul Grossman, Peter G. Kaufmann, Marek Malik, Haikady N. Nagaraja, Stephen W. Porges, J. Philip Saul, Peter H. Stone, and Maurits W. Van Der Molen, Committee Report, "Heart rate variability: Origins, methods, and interpretive caveats", Psychophysiology, Cambridge University Press, U.S.A., Vol. 34 (1997), pp. 623-648, XP009045636. Examiner **Date Considered** Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.